

To : Telecom King Securities Limited  
致 : 電訊數碼證券有限公司

Unit A, 10/F., YHC Tower, No. 1 Sheung Yuet Road, Kowloon Bay, Kln, HK.

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Fax No. 傳真: (852) 8118 0033

Date

日期: \_\_\_\_\_

## Settlement Instruction(SI) / Investor Settlement Instruction(ISI) Form 交收指示 / 投資者交收指示表格

- Note 註: a. Please tick the following where applicable. 請在以下適當的地方加上剔號。  
b. Please note that instruction for same day settlement of local securities must reach us by 2:00 afternoon on the settlement day.  
如需要在交收日完成交收, 請在當日下午2時前將交收指示交回本行。  
c. For SI delivery, please return the original of this form for our record. 以交收指示提取, 請將此表格正本交回本公司以作記錄。

Client Name

客戶名稱: \_\_\_\_\_

Account Number

帳戶號碼: \_\_\_\_\_

I/We hereby instruct your Company to 本人/吾等特此通知貴公司

**RECEIVE** the following securities for my/our securities account  
將下列股票存入本人/吾等之證券帳戶

**DELIVER** the following securities for my/our securities account  
由本人/吾等之證券帳戶提取下列股票

and input the following Settlement Instruction into the Central Clearing and Settlement System (CCASS) to effect settlement with details as follows: 將下列交收指示輸入中央結算及交收系統以便進行交收:

Name of Counter-party

對手經紀名稱: \_\_\_\_\_

Settlement Date

交收日期: \_\_\_\_\_

Counter-party/ Investor CCASS I.D. No.

對手經紀/投資者中央結算代號: \_\_\_\_\_

Contact Person

聯絡人姓名: \_\_\_\_\_

Tel. No.

電話: \_\_\_\_\_

STOCK CODE 股票號碼	STOCK NAME 股票名稱	NO.OF SHARES / UNITS 股票數目	PRICE 股價 **	For OFFICE USE ONLY	
				上日收市價	費用 (HK\$)
				( SI )每隻股票每次 HK\$500	
				( ISI )@0.01% 最低 HK\$20 / 最高 HK\$500	
有關費用在戶口扣數 Debited from Account				總數 (HK\$)	

Payment instruction :

付款指示

FOP (Free Of Payment) 無須付款

DVP (Delivery Versus Payment) 貨款對付交收

Settlement Amount (HKD) 款項數額(港幣): \_\_\_\_\_

Purpose of SI/ISI:

目的

C (Broker-custodian transaction) 經紀託管商之間交易

M (Portfolio movement) 證券組合轉移

I/We confirm that there is no change in beneficial ownership through effecting this SI/ISI. I/We hereby agree to indemnify you against any loss or claim result from your action according to my/our instruction. 本人/吾等確認上述股票的實益擁有權並沒有因進行本交收指示/投資者交收指示而出現變動。本人/吾等願意賠償貴公司因執行本人/吾等指示所引致之任何損失。

註: \*\* 客戶提供的股價用作計算該股票的成本價

Client's Signature 客戶簽署

FOR OFFICIAL USE ONLY 本公司專用

	Handled by	Specimen Checked	Data Checked	Checked by Account Dept.	Input by	Approved by
Initial						
Date						